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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2001-0998A

First Named Inventor : Tetsuji TOGAWA et al.

Title: POLISHING APPARATUS

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

7. ☐ CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

2. ☐ Small Entity Status is hereby asserted.

8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

3. ☒ Specification [Total Pages: 46]

(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer program listing appendix.

a. ☐ Computer Readable Form

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statement verifying identity of above copies

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

## ACCOMPANYING APPLICATION PARTS

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 21]

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney

11. ☐ English Translation Document (if applicable)

12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other

☒ Oath or Declaration [Total Pages: 3]

a.1. ☒ Newly executed (original or copy)

a.2. ☐ Unexecuted

b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

*Jeffrey R. Filipek*  
Jeffrey R. Filipek  
Registration No. 41,471

WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone: (202) 721-8200  
Fax: (202) 721-8250

July 13, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Tetsuji TOGAWA et al. :  
Serial No. NEW : Attn: APPLICATION BRANCH  
Filed July 13, 2001 : Attorney Docket No. 2001-0998A  
POLISHING APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$750.00 to cover Patent Office fees relating to filing the following attached papers:

New application . . . . . \$710.00  
Assignment for Recordal . . . . . \$40.00  
Additional Claims Fee:  
Excess of Twenty . . . . . \$  
Independent . . . . . \$  
Multiple Dependent Fee . . . . . \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

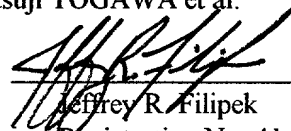
*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

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FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Tetsuji TOGAWA et al.

By



Jeffrey R. Filipek  
Registration No. 41,471  
for Nils E. Pedersen  
Registration No. 33,145  
Attorney for Applicants

NEP/JRF/krl  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
July 13, 2001

[Check No. 45452]

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